

TITLE: METHOD OF MANUFACTURING TRANSDERMAL PATCHES

COMMENTS:

**DECLARATION FOR PATENT APPLICATION CLAIMING
PRIORITY ON PRIOR FILED FOREIGN APPLICATIONS**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHOD OF MANUFACTURING TRANSDERMAL PATCHES, the specification of which was filed on 14th April 1999 as Application Serial No. PCT/GB99/01138.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I do not know and do not believe that the invention was ever known or used in the United States of America before my invention thereof.

I do not know and do not believe that the invention was ever patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application.

I do not know and do not believe that the invention was in public use or on sale in the United States of America more than one year prior to this application.

PRIOR FOREIGN APPLICATION(S):

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign applications for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Serial Number: 9807917.1

Country: Great Britain

Day/Month/Year Filed: 14th April 1998

Priority Claimed Under 35 USC §119 Yes

POWER OF ATTORNEY:

As a named Inventor, I hereby appoint the following attorneys and agent to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: John S. Reid, Reg. No. 36,369.

Send correspondence to: REIDLAW, L.L.C., 1926 S. Valleyview Lane, Spokane, WA 99212-0157. Direct telephone calls to: John S. Reid at (509) 534-5789 (fax: (509) 532-0351).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful

false statement may jeopardize the validity of the application or any patent issued therefrom.

* * * * *

Full name of sole inventor: Mark Rupert TUCKER

Inventor's Signature: [Signature]

Date: 20/10/00

Residence: Chipping Norton, Oxon, Great Britain G.B.N

Citizenship: Great Britain

Post Office Address: 35 Bliss Mill, Chipping Norton, Oxon OX7 5JR, Great Britain

* * * * *

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VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

Docket Number (Optional)

HA01-P01

Applicant or Patentee: Mark Rupert TUCKER
 Serial or Patent No.: PCT/GB99/01138 (USSN 09/673221)
 Filed or Issued: (PCT) 14th April 1999; US: 12 OCT 2000
 Title: METHOD OF MANUFACTURING TRANSDERMAL PATCHES

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN STOWIC RESOURCES LIMITED
 ADDRESS OF SMALL BUSINESS CONCERN Ross House, Stow-On-The-Wold, Gloucestershire
GL54 1AF, Great Britain

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

☒ I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING MARK TUCKERTITLE OF PERSON IF OTHER THAN OWNER DIRECTORADDRESS OF PERSON SIGNING ^{Ross} ROSS HOUSE, THE SQUARE, STOW-ON-THE-WOLD, GLOS GL54 1AFSIGNATURE Mark Tucker DATE 20/10/00